

## Investors Complaints Data

**Name of the Stock Broker: Raise Securities Pvt. Ltd**

**SEBI Registration Number: INZ000006031**

### **A. Data for the month ending – April 2026**

Sr no	Received from	Pending at the end of last month	Received during the month	Resolved during the month *	Total Pending at the end of the month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	0	9	9	0	0	2
2	SEBI (SCORES)	15	16	21	10	0	17
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	4	3	5	2	0	8
	<b>Grand Total</b>	<b>19</b>	<b>28</b>	<b>35</b>	<b>12</b>	<b>0</b>	<b>9</b>

\* Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last day of the year. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **B. Trend of monthly disposal of complaints for the Financial Year – 2025-2026**

Sr No	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of the month**
1	Apr-25	9	42	39	12
2	May-25	12	18	30	0
3	Jun-25	0	25	20	5
4	Jul-25	5	18	20	3
5	Aug-25	3	22	18	7
6	Sep-25	7	40	43	4
7	Oct-25	4	31	27	8
8	Nov-25	8	21	24	5
9	Dec-25	5	18	20	3
10	Jan-26	3	20	20	3
11	Feb-26	3	30	19	14
12	Mar-26	14	37	32	19

**C. Trend of annual disposal of complaints**

<b>Sr No</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2018-19	0	0	0	0
2	2019-20	0	2	2	0
3	2020-21	0	3	3	0
4	2021-22	0	2	2	0
5	2022-23	0	11	9	2
6	2023-24	2	423	425	0
7	2024-25	0	701	692	9
8	2025-26	9	322	312	19
	<b>Grand Total</b>	<b>0</b>	<b>1464</b>	<b>1445</b>	<b>19</b>

Note: Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021

**Investors Complaints Data**

**Name of the Depository Participant: Raise Securities Pvt. Ltd CDSL**

**Registration Number: IN-DP-289-2016**

**Data for the month ending – April 2026**

SN	Received From	Carried forward from previous month	Received during month	Total Pending	Resolved*	Pending at the end of the month**		Average Solution Time (in days )^
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from the investors	0	0	0	0	0	0	0
2	SEBI (Scores)	0	2	1	1	0	0	18
3	Depositories	1	5	2	4	0	0	13
4	Other sources (if any)	0	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>1</b>	<b>7</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>15</b>

**Trend of monthly disposal of complaints for the Financial Year – 2025-2026**

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	Apr-25	1	0	1	0
2	May-25	0	1	1	0
3	Jun-25	0	4	4	0
4	Jul-25	0	2	2	0
5	Aug-25	0	3	2	1
6	Sep-25	1	0	1	0
7	Oct-25	0	1	1	0
8	Nov-25	0	5	5	0
9	Dec-25	0	4	4	0
10	Jan-26	0	4	3	1
11	Feb-26	1	2	2	1
12	Mar-26	1	3	3	1

\*Complaints of previous months resolved in the current month, if any.

\*\*Total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month



**Trend of annual disposal of complaints**

<b>Sr No</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	1	1	0
5	2021-22	0	2	2	0
6	2022-23	0	19	19	0
7	2023-24	0	71	71	0
8	2024-25	0	44	43	1
9	2025-26	1	29	29	1
	<b>Grand Total</b>	<b>0</b>	<b>166</b>	<b>165</b>	<b>1</b>

Note: Above information is issued pursuant to CDSL communique number CDSL/OPS/DP/POLCY/2021/589 dated December 25, 2021